



FINANCIAL ANALYSIS INFORMATION

(Please fill in prior to your appointment. If not sure, leave blank. Print clearly. OK to approximate amounts. Please bring in most recent tax return).

Date: _____ Married Widowed Divorced Single

Name: _____ Date of Birth: _____
Print full name: first, middle, last

Signature: _____ Driver's Lic. #: _____
Print full name: first, middle, last

Spouse's Name: _____ Date of Birth: _____
Print full name: first, middle, last

Spouse's Signature: _____ Driver's Lic. #: _____
Print full name: first, middle, last

Mailing Address: _____

City: _____ State: _____ Zip: _____

Home Phone: _____ Work Phone: _____

E-mail Address: _____

Children's Full Names	Sex	Date of Birth	Child of		
			Husband	Wife	Joint
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Grandchildren's Full Names	Sex	Date of Birth
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

6832 Olney-Laytonsville Road, Laytonsville, Maryland 20882 • 410-902-0000 Fax: 410-902-0558

MERC Capital is an independent firm with securities offered through Summit Brokerage Services, Inc., Member FINRA/SIPC
"Advisory Services offered through Summit Financial Group, Inc., a registered investment advisor"

Name of CPA: _____ Phone: _____

Do you have a current Will? Y__N__ Living Trust? Y__N__

Are you concerned about possible Nursing Home Expenses? Y__N__

**AMOUNTS IN BANKS, SAVINGS & LOANS & CREDIT UNIONS (NON-IRA)
(i.e., Checking, Savings, Money Market)**

NAME OF INSTITUTION	TYPE OF ACCOUNT	MATURITY DATE	INTEREST RATE	APPROXIMATE BALANCE
1. _____	_____	_____	_____ %	\$ _____
2. _____	_____	_____	_____ %	\$ _____
3. _____	_____	_____	_____ %	\$ _____
4. _____	_____	_____	_____ %	\$ _____
5. _____	_____	_____	_____ %	\$ _____

IRA ACCOUNTS AND OTHER RETIREMENT ACCOUNTS

ACCOUNT TYPE & LOCATION (BANK, BROKER, EMPLOYER)	TYPE (401K, IRA, TSA, ETC.)	APPROXIMATE MARKET VALUE
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____
4. _____	_____	\$ _____

Planned retirement date: _____ or if retired, date retired: _____

STOCKS AND BONDS (WHERE YOU HOLD CERTIFICATES YOURSELF)

NAME OF STOCK/BOND	NUMBER OF SHARES	APPROXIMATE MARKET VALUE
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____
4. _____	_____	\$ _____

6832 Olney-Laytonsville Road, Laytonsville, Maryland 20882 • 410-902-0000 Fax: 410-902-0558

MERC Capital is an independent firm with securities offered through Summit Brokerage Services, Inc., Member FINRA/SIPC
“Advisory Services offered through Summit Financial Group, Inc., a registered investment advisor”

INVESTMENTS AND/OR BROKERAGE ACCOUNTS

(Please bring in latest reports/statements)

NAME OF BROKERAGE FIRM OR INVESTMENT	NUMBER OF SHARES	APPROXIMATE MARKET VALUE
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____
4. _____	_____	\$ _____

PROMISSORY NOTES & TRUST DEEDS

(Where someone owes or is paying you on a note)

NAME OF DEBTOR	INTEREST RATE	APPROXIMATE BALANCE OF NOTE
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____

RESIDENCE AND OTHER REAL ESTATE OWNED

(use another sheet if more space is needed)

PROPERTY ADDRESS	ORIGINAL COST	APPROX. VALUE	DEBT NET	CASHFLOW <u>BEFORE</u> DEPREC (if a rental)
1. _____	\$ _____	\$ _____	\$ _____	\$ _____
2. _____	\$ _____	\$ _____	\$ _____	\$ _____
3. _____	\$ _____	\$ _____	\$ _____	\$ _____

LIMITED OR GENERAL PARTNERSHIPS

NAME OF PARTNERSHIP	TYPE OF INVESTMENT	APPROXIMATE MARKET VALUE or AMOUNT INVESTED
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____

OTHER ASSETS

1. _____	\$ _____
2. _____	\$ _____
3. _____	\$ _____

6832 Olney-Laytonsville Road, Laytonsville, Maryland 20882 • 410-902-0000 Fax: 410-902-0558

MERC Capital is an independent firm with securities offered through Summit Brokerage Services, Inc., Member FINRA/SIPC
“Advisory Services offered through Summit Financial Group, Inc., a registered investment advisor”

LIFE INSURANCE

(Please bring in policies and latest statements)

COMPANY	NAME OF INSURED	TYPE OF INSURANCE (WHOLE LIFE, TERM)	DEATH BENEFIT
1. _____	_____	_____	\$ _____
2. _____	_____	_____	\$ _____
3. _____	_____	_____	\$ _____
4. _____	_____	_____	\$ _____

ANNUITIES

(Please bring in contracts and latest statements)

ANNUITANT/ COMPANY	INTEREST OWNER	APPROX. RATE	VALUE	PURCHASED DATE
1. _____	_____	_____ %	\$ _____	_____
2. _____	_____	_____ %	\$ _____	_____
3. _____	_____	_____ %	\$ _____	_____
4. _____	_____	_____ %	\$ _____	_____

HOUSEHOLD CASH FLOW

HUSBAND’S WAGES: \$ _____/YR SOURCE: _____

WIFE’S WAGES: \$ _____/YR SOURCE: _____

OTHER INCOME: \$ _____/YR SOURCE: _____

\$ _____/YR SOURCE: _____

WHAT ARE YOUR APPROXIMATE ANNUAL EXPENSES: \$ _____

What are your primary financial concerns? (List in order of importance)

How would you improve your financial situation if you could? Why?

6832 Olney-Laytonsville Road, Laytonsville, Maryland 20882 • 410-902-0000 Fax: 410-902-0558

MERC Capital is an independent firm with securities offered through Summit Brokerage Services, Inc., Member FINRA/SIPC
“Advisory Services offered through Summit Financial Group, Inc., a registered investment advisor”